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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/887,879	06/21/2001	Avi J. Ashkenazi	P1110P1C1

09157  
GENENTECH, INC.  
1 DNA WAY  
SOUTH SAN FRANCISCO, CA 94080



**CONFIRMATION NO. 9003**

**FORMALITIES LETTER**



\*OC00000006404029\*

Date Mailed: 08/09/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

09/26/2001 SSESHE1 00000058 070630 09887879

### ***Filing Date Granted***

01 FC:103 72.00 CH  
02 FC:102 160.00 CH

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

01/04/2002 YGIZAW 00000009 070630 09887879

01 FC:104 For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

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## Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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09-24-01

03CD

Patent Docket P1110P1C1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ashkenazi et al.

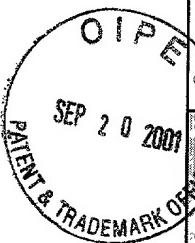
Serial No.: 09/887,879

Filed: June 21, 2001

For: Apo-2 DeR

Group Art Unit: to be assigned

Examiner: to be assigned



**CERTIFICATE OF EXPRESS MAILING**  
I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail service Post Office to Addressee, No. EL599583802US, in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

September 20, 2001

Diane L. Marschang

**TRANSMITTAL**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a Response to Notice to File Corrected Application Papers and Preliminary Amendment in the above-identified application.

The fee has been calculated as shown below.

	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Additional Fees
Total	24	-	20	4	x 18 = \$72.00
Independent	8	-	6	2	x 78 = \$156.00
Multiple dependent claim(s), if any					+ 260 = \$0.00
Total Fee Calculation					\$228.00

No additional fee is required.

X The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$228.00. A duplicate copy of this transmittal is enclosed.  
Petition for Extension of Time is enclosed.

X The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
GENENTECH, INC.

By: Diane L. Marschang  
Diane L. Marschang  
Reg. No. 35,600  
Telephone No. (650) 225-5416



Date: September 20, 2001

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